

How Absorbed Hydrogen Affects the Catalytic Activity of Transition Metals**

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Abstract: Heterogeneous catalysis is commonly governed by surface active sites. Yet, areas just below the surface can also influence catalytic activity, for instance, when fragmentation products of catalytic feeds penetrate into catalysts. In particular, H absorbed below the surface is required for certain hydrogenation reactions on metals. Herein, we show that a sufficient concentration of subsurface hydrogen, H^{sub} , may either significantly increase or decrease the bond energy and the reactivity of the adsorbed hydrogen, H^{ad} , depending on the metal. We predict a representative reaction, ethyl hydrogenation, to speed up on Pd and Pt, but to slow down on Ni and Rh in the presence of H^{sub} , especially on metal nanoparticles. The identified effects of subsurface H on surface reactivity are indispensable for an atomistic understanding of hydrogenation processes on transition metals and interactions of hydrogen with metals in general.

For a long time scientists have been studying the interaction of hydrogen with transition metals, in particular with Pd. The latter is highly permeable for H and widely used as a hydrogenation catalyst.^[1,2] Despite strong research efforts, it is still controversially discussed in which way H^{sub} atoms absorbed just beneath the top metal layer affect surface reactions. For instance, it is uncertain whether H^{sub} species directly participate in the hydrogenation of alkyls on Pd, as also discussed for Ni catalysts.^[3,4] As a touchstone reaction we have chosen the alkyl to alkane hydrogenation step, which on Pd catalysts is known to be critically affected by the H^{sub} content.^[5–7] (See also our experimental data in the Supporting Information (SI) and in Figure S1.) Importantly, the hydrogenation activity of Pd is qualitatively different on single-crystal and nanoparticle (NP) samples.^[6] Thus, to account for a part of the complexity

of the subsurface chemistry^[8] and the involvement of H^{sub} in the hydrogenation on metal catalysts, we go beyond the consideration of only single-crystal surfaces and explore NP models as well. After an in-depth analysis of the processes on Pd we critically compare it with three other transition metals, Pt, Ni, and Rh, whose bulk or NP forms were experimentally shown to absorb H.^[4,9]

Our density functional calculations of Pd catalysts are performed for Pd(111) extended (slab) and unsupported Pd₇₉ NP models with different arrangements and concentrations of H atoms (Figure 1a). Note that bare Pd NPs of a similar size sufficiently accurately mimic the NPs deposited on inert metal-oxides.^[10] We consider the reactivity of terrace sites on {111} facets of the Pd₇₉ NP, which is representative for larger Pd NPs commonly employed in catalytic experiments.^[11] Edge sites are not discussed here because we did not find them to be more active than the terrace sites in the reaction under scrutiny. Theoretical studies reveal that H^{sub} is bound weaker than H^{ad} on Pd^[12] and other transition metals,^[13] implying that at equilibrium conditions H occupies subsurface positions only after filling most of the surface positions.^[5,12,14] In some papers the effect of the H^{ad} atoms on the H^{sub} was considered for transition metal slabs,^[15] whereas the influence of H^{sub} on H^{ad} reactivity analyzed in the present paper was not explored in due detail. Thus, for both Pd₇₉ NP and Pd(111) slab different hydrogen contents were represented by three types of models: 1) low-coverage models with just one adsorbed H^{ad} atom, $H_1^{\text{ad}}H_0^{\text{sub}}/\text{Pd}(\text{NP})$ and $H_1^{\text{ad}}H_0^{\text{sub}}/\text{Pd}(111)$; 2) surface-saturated^[16] models, $H_{78}^{\text{ad}}H_0^{\text{sub}}/\text{Pd}(\text{NP})$ and $H_{78}^{\text{ad}}H_0^{\text{sub}}/\text{Pd}(111)$; and 3) subsurface-saturated models, $H_{78}^{\text{ad}}H_{24}^{\text{sub}}/\text{Pd}(\text{NP})$ and $H_{78}^{\text{ad}}H_{24}^{\text{sub}}/\text{Pd}(111)$. High exposure of Pd to H₂ under experimental hydrogenation conditions.^[5,7] corresponds to the

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regimes (2) or (3). We study ethyl hydrogenation to ethane, $\text{C}_2\text{H}_5 + \text{H} \rightarrow \text{C}_2\text{H}_6$, (Figure S6) as a representative surface reaction because alkyl hydrogenation on Pd was observed to be particularly sensitive to the presence of H^{sub} (see SI and Figure S1); our benchmark calculations of butyl hydrogenation on Pd NPs revealed very similar trends in the reactivity (see Table 1). Note, however, that for the examined reaction the surface concentration of the alkyl intermediate hardly depends on the concentration of the H^{sub} species (see SI).

The hydrogenation of ethyl is exothermic by 50–140 kJ mol^{-1} (Table 1) for all catalyst models addressed, implying that only kinetic aspects need to be investigated in depth. We first examined possible reaction paths of ethyl recombination directly with a H^{sub} atom (see Figure S2). However, the attacking H^{sub} emerging from the subsurface area of Pd was inevitably stabilized on the surface and converted to H^{ad} , which subsequently acted as a reactant, similarly to methyl hydrogenation on Ni(111).^[3,4] Therefore, in the following only the attack of alkyl species by a surface H^{ad} atom is discussed.

Results in Figure 1 predict that increasing the hydrogen content should accelerate the reaction on Pd NPs as well as on the Pd(111) single-crystal surface. As one moves from the bare NP with just one H^{ad} to the surface-saturated NP, the Gibbs activation energy of hydrogenation decreases by 19 kJ mol^{-1} (Table 1). This corresponds to a three orders of magnitude higher hydrogenation rate at 298 K. The activation barriers for the low-coverage and surface-saturated single-crystal models agree within 2 kJ mol^{-1} with those for the corresponding NP models. This observation corroborates the suitability of the employed NP models to represent bigger Pd NPs studied experimentally.

The prominent difference between NPs and single crystals

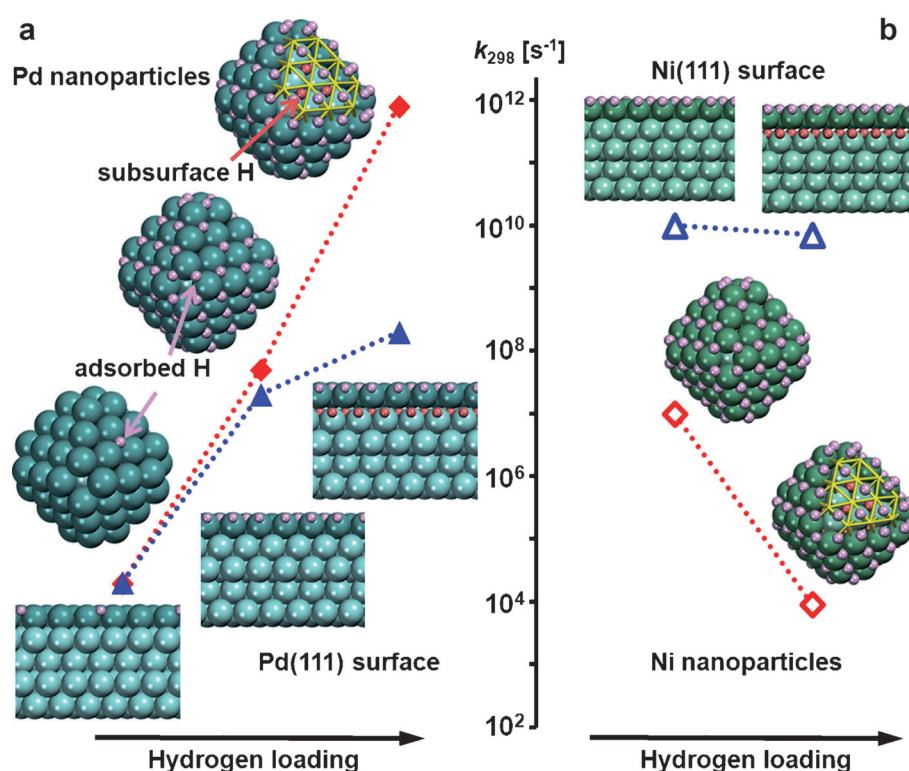


Figure 1. Calculated rate constant k_{298} of ethyl hydrogenation on a) Pd and b) Ni at 298 K as a function of hydrogen loading and nanostructuring of the catalyst. Data for metal nanoparticles (red diamonds) and (111) single-crystals (blue triangles) are shown together with the sketches of the models.

Table 1: Calculated data for ethyl hydrogenation catalyzed by Pd and Ni. Rate constant, activation, and reaction Gibbs free energies at temperature $T = 298$ K (k_{298} , $\Delta G_{298}^\ddagger$, and ΔG_{298} , respectively), binding energies (E_b) of atom H^{ad} as well as those of initial state (IS) and transition state (TS) structures on various forms of the catalysts.^[a]

Model ^[b]	k_{298} ^[c] [s ⁻¹]	$\Delta G_{298}^\ddagger$ [kJ mol ⁻¹]	ΔG_{298} [kJ mol ⁻¹]	$E_b^{\text{IS}}(\text{C}_2\text{H}_5 + \text{H})$ [kJ mol ⁻¹]	$E_b^{\text{TS}}(\text{C}_2\text{H}_5 + \text{H})$ [kJ mol ⁻¹]	$E_b(\text{H})$ [kJ mol ⁻¹]
Pd nanoparticle						
low-coverage	2×10^4	48	-51	-219	-165	-61
surface-saturated ^[d]	5×10^7	29	-100	-178	-133	-51
subsurface-saturated ^[d]	(2×10^6)	(37)	(-106)			
	8×10^{11} (7×10^9)	5 (17)	-142 (-142)	-134	-119	-15
Pd single crystal						
low-coverage	2×10^4	48	-58	-205	-155	-56
surface-saturated	2×10^7	31	-103	-174	-133	-43
subsurface-saturated	2×10^8	26	-126	-152	-115	-34
Ni nanoparticle						
surface-saturated	1×10^7	33	-105	-176	-132	-66
subsurface-saturated	9×10^3	51	-52	-207	-149	-69
Ni single crystal						
surface-saturated	1×10^{10}	16	-137	-147	-122	-51
subsurface-saturated	7×10^9	17	-126	-165	-133	-60

[a] E_b is the binding energy to the metal substrate of either the co-adsorbed species $\text{C}_2\text{H}_5 + \text{H}$ (with respect to the energy of free $\text{C}_2\text{H}_5 + \frac{1}{2} \text{H}_2$) or the atom H^{ad} involved in the hydrogenation (calculated without co-adsorbed ethyl, with respect to the energy of $\frac{1}{2} \text{H}_2$). [b] See Figure 1. [c] $k_T = (k_b T/h) \times \exp(-\Delta G_T^\ddagger/RT)$, where k_b , h , and R are the Boltzmann, Planck, and ideal gas constant, respectively. [d] In parentheses, the data for butyl hydrogenation is shown.

becomes evident when H is introduced into the subsurface region of Pd. For the subsurface-saturated NP it causes a further strong reduction of the Gibbs activation energy by 24 kJ mol⁻¹ (Table 1). Such barrier lowering should increase the reaction rate by four orders of magnitude compared to the surface-saturated NP without H^{sub}. At the same time, the addition of H^{sub} atoms to the surface-saturated single crystal decreases the barrier by only ca. 5 kJ mol⁻¹ and accelerates the reaction tenfold. Hence, we conclude that a significantly accelerated hydrogenation on Pd can be achieved through the synergy of high H^{sub} content and catalyst nanostructuring.^[17]

To further evaluate the contributions to the barrier lowering by various H^{ad} and H^{sub} atoms surrounding the reacting species, we considered two more models derived from the most reactive subsurface-saturated NP model H₇₈^{ad}H₂₄^{sub}/Pd(NP). First, the most distant H^{ad} and H^{sub} atoms were removed from the {111} NP facet that accommodates the ethyl reactant, giving the H₃₈^{ad}H₁₂^{sub}/Pd(NP) model. Subsequent removal of the H atoms most distant from the reaction site results in the model H₂₃^{ad}H₃^{sub}/Pd(NP) (see Figure S3). For the H₃₈^{ad}H₁₂^{sub}/Pd(NP) model, the Gibbs activation barrier of the hydrogenation is 9 kJ mol⁻¹, close to that of the subsurface-saturated NP containing about twice as many H^{ad} and H^{sub} atoms. The model with only three H^{sub} atoms located below the reaction site, H₂₃^{ad}H₃^{sub}/Pd(NP), features a barrier height of 28 kJ mol⁻¹, the same as on the surface-saturated NP without any H^{sub} atoms. Thus, solely the H^{sub} atoms right beneath the hydrogenation sites on Pd NP are likely insufficient to notably lower the barrier. Rather, the effect of the overall concentration of H^{sub} on the barrier height appears to be gradual. Indeed, the Pd NPs with increasing number of H^{sub} atoms, H₇₈^{ad}H₀^{sub} → H₂₃^{ad}H₃^{sub} → H₃₈^{ad}H₁₂^{sub} → H₇₈^{ad}H₂₄^{sub} exhibit decreasing activation barriers 29 → 28 → 9 → 5 kJ mol⁻¹. A similar, but much weaker effect could also be seen on Pd(111) models: the barrier drops from 31 to 26 kJ mol⁻¹ when switching from the surface-saturated to the subsurface-saturated single-crystal model. Doubling the H^{sub} concentration in the subsurface-saturated single-crystal model (by filling the second subsurface layer) further decreases the Gibbs activation barrier from 26 to 18 kJ mol⁻¹. In line with the experimental observations (Figure S1 and the second paragraph in SI), this finding suggests that Pd(111) single crystal can be as active as Pd NPs in hydrogenation of alkyls, but only at a rather high concentration of H^{sub}, which may be difficult to maintain in the steady state reaction regime.

What are the fundamental reasons for the enhanced hydrogenation activity of Pd NPs in the presence of H^{sub} species and why is the activation less efficient on the extended Pd(111) surface? To answer these questions, we analyze the energy contributions that determine the barrier heights. From the binding energy of ethyl + H considered as the initial state (IS) of the process, E_b^{IS} (Table 1), one notices that the increase in the H content gradually destabilizes the IS structures on NP and, to a lesser extent, on single-crystal models. The transition state (TS) destabilization caused by H^{sub} atoms is not so strong, as seen from its binding energy, E_b^{TS} (Table 1). The different destabilization of the IS and TS structures by H^{sub} atoms determines the activation energies, which are lowered by 24 kJ mol⁻¹ on the NP and by 5 kJ mol⁻¹ on the single

crystal when changing from the surface-saturated to the subsurface-saturated model.

The data presented in Table 1 suggest that the binding energy of an attacking H^{ad} (without co-adsorbed ethyl) is a descriptor of the surface hydrogenation reactivity. The adsorption energies of the probe atom H, $E_b(H)$, correlate with the activation energies of ethyl hydrogenation (Figure 2): lowering of $E_b(H)$ is accompanied by a decrease of the Gibbs activation barrier by almost the same amount. Thus, a destabilization of H^{ad} by H^{sub}, consistent with experimental observations,^[18] appears to be the main reason for the activation of Pd catalysts by subsurface H, which is particularly strong on Pd NPs.

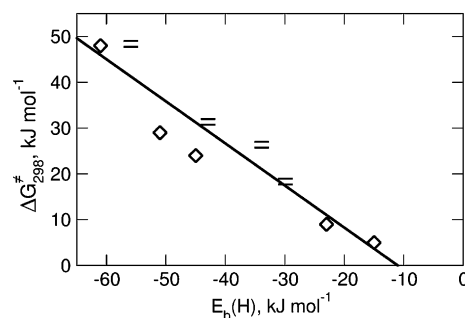


Figure 2. Correlation of activation barriers with adsorption energies of hydrogen. Activation Gibbs free energies of ethyl hydrogenation, $\Delta G_{298}^\ddagger$, as a function of H binding energies in IS, $E_b(H)$, calculated on Pd NP (◇) and Pd(111) single crystal (=) models.

Examining the electronic structure of Pd with a varying number of H^{ad} and H^{sub} atoms is the key to understanding the origin of the weakened adsorption of H atoms and their enhanced reactivity. Two effects are manifested in densities of states (DOS) projected on H s and Pd d states of atoms forming three-fold hollow hydrogenation sites H^{ad}Pd₃ (Figures 3, S3 and S4). First, a shift of the Pd 4d states to lower energies with increasing H content notably reduces the number of states at the Fermi level. The magnitude of these H-induced DOS shifts, ca. 0.3 eV, is comparable to differences between d-band centers of such distinct metals as Cu and Pt,^[19] explaining the significant change in catalytic properties of Pd upon saturation with H. The second effect is related to the DOS of the subsurface-saturated NP model, for which the lowest hydrogenation activation energy is computed. The H s-projected DOS reveals a small feature just below the Fermi level corresponding to a partial occupation of the antibonding H^{ad}–Pd₃ states (see asterisks in Figure 3). The latter, in line with the reactivity analysis of different late transition metals with respect to H,^[20] results in a notably weaker interaction between H^{ad} and Pd. Both effects on DOS plots described above are significantly stronger for NPs than for single-crystal models. Filling of subsurface sites by H atoms in the subsurface-saturated single crystal does not lead to any noticeable occupation of the antibonding H^{ad}–Pd states, consistent with the substantial ethyl hydrogenation barrier of 26 kJ mol⁻¹. Thus, a high sensitivity of NPs to changes in the electronic structure upon interaction with hydrogen is crucial for the

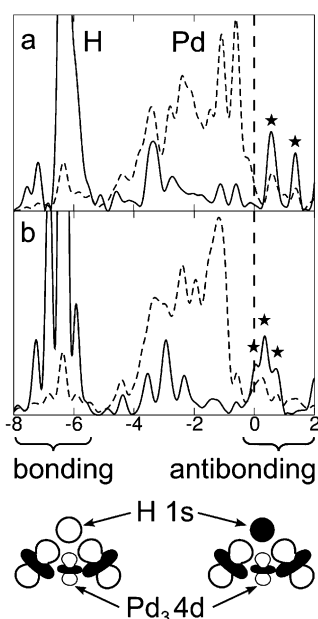


Figure 3. Dependence of the electronic structure of nanoparticle Pd–H systems on the hydrogen content: a) Pd₇₉ NP saturated with adsorbed hydrogen H^{ad}, b) Pd₇₉ NP saturated with both adsorbed H^{ad} and subsurface H^{sub} hydrogen (see Figure 1 a). The density of states (DOS) projected on: solid line: s states of the reacting H^{ad} atom ($\times 50$); dashed line: d states of the atoms forming the hollow site Pd₃, where the atom H^{ad} is located. DOS is given in arbitrary units, with respect to the Fermi energy $\varepsilon_F = 0$ eV. The H–Pd₃ antibonding states (marked by asterisks) approach the Fermi level with increasing H content, resulting in their partial occupation in subsurface-saturated NP (panel b).

activation of Pd by H^{sub}. This explains why both subsurface hydrogen and nanostructuring of Pd catalysts are necessary for the high observed steady state hydrogenation activity. Note that changes in the geometric structure of Pd catalysts induced by H^{sub} cannot explain the increase in the hydrogenation activity (see SI).

Having analyzed the interplay between H^{sub} content and hydrogenation catalytic activity for Pd, we explored to what

extent the trends identified for Pd are inherent to transition metals in general. To this end we investigated other catalytically relevant metals, namely Pt, Ni, and Rh, using the same models as for Pd and calculated binding energies of adsorbed hydrogen, $E_b(\text{H})$, as a descriptor of the hydrogenating activity, and its dependence on the content of subsurface hydrogen. For one of the metals, Ni, we also calculated hydrogenation rates (Figure 1 b), which are shown to be related to $E_b(\text{H})$, as discussed above for Pd.

The results collected in Table 2 suggest that Pt behaves similarly to Pd; the binding energy of H^{ad} is decreased in magnitude from -49 kJ mol^{-1} on the low-coverage Pt NP to -13 kJ mol^{-1} on the subsurface-saturated NP. Again this sharp weakening of H^{ad} binding is related to changes in the electronic structure and partial occupation of antibonding H–Pt states (Figure S5). On the single-crystal Pt(111) surface the weakening of H^{ad} binding is much more modest, only to -30 kJ mol^{-1} for the subsurface-saturated model.

In contrast, the binding nature of hydrogen to Ni and Rh appears to be remarkably different from that to Pd and Pt due to distinctively polar H–Ni and H–Rh bonds with significantly larger electron density accumulated on hydrogen and concomitantly increased positive charge on metal atoms (Table 2). Addition of H^{sub} to hydrogen-loaded Ni and Rh systems is predicted to lead to further electron depletion from the surface metal atoms and increase their electrostatic attraction to the adsorbed (noticeably negatively charged) H^{ad} atoms. Thus, in striking variance with Pd and Pt, the presence of H^{sub} in Ni and Rh makes H^{ad} atoms more strongly bound to the metal. Keeping in mind our findings for Pd, one could expect that this stabilization results in a decreased activity of H^{ad} in the presence of H^{sub}. Indeed, we calculated (Table 1, Figure 1 b) that on Ni nanoparticles H^{sub} slows down ethyl hydrogenation by three orders of magnitude.

In summary, we determined two mechanisms by which subsurface H may affect the activity of transition metals, in particular, in hydrogenation reactions. On Pd and Pt subsurface H destabilizes adsorbed H by changing the electronic structure of metals, causing occupation of antibonding H^{ad}–Pd or H^{ad}–Pt electronic states. This effect is stronger on metal

Table 2: Results for nanoparticle and (111) single-crystal models of transition metals interacting with a different number of hydrogen atoms. Binding energies of adsorbed hydrogen atoms, $E_b(\text{H})$, and calculated Bader charges, q , of selected atoms.

Model ^[a]	$E_b(\text{H})$, ^[b] [kJ mol ⁻¹]				$q(\text{H})$, ^[c] [e]				$q(\text{M})$, ^[d] [e]			
	Ni	Rh	Pd	Pt	Ni	Rh	Pd	Pt	Ni	Rh	Pd	Pt
<i>Nanoparticle</i>												
low-coverage	–63	–49	–61	–49	–0.27	–0.21	–0.11	–0.04	0.10	0.07	0.03	0.02
surface-saturated	–66	–37	–51	–47	–0.22	–0.16	–0.09	–0.02	0.17	0.11	0.08	0.01
subsurface-saturated	–69	–66	–15	–13	–0.28 (–0.27)	–0.20 (–0.15)	–0.11 (–0.08)	–0.04 (0.00)	0.29	0.19	0.11	0.05
<i>Single crystal</i>												
low-coverage	–54	–56	–56	–47	–0.28	–0.22	–0.13	–0.08	0.07	0.03	0.03	–0.02
surface-saturated	–51	–47	–43	–39	–0.25	–0.20	–0.12	–0.05	0.19	0.13	0.09	0.03
subsurface-saturated	–60	–52	–34	–30	–0.27 (–0.29)	–0.20 (–0.17)	–0.13 (–0.11)	–0.06 (–0.01)	0.27	0.15	0.12	0.01

[a] See models depicted in Figure 1. [b] $E_b(\text{H})$ is the binding (adsorption) energy of the H^{ad} atom involved in the hydrogenation (calculated without co-adsorbed ethyl species) versus free $\frac{1}{2} \text{H}_2$. [c] Average charges of all adsorbed atoms H^{ad}, or, in parentheses, of all subsurface atoms H^{sub}. [d] Average charges of the three metal atoms M, to which the attacking atom H^{ad} is coordinated.

NPs than on single crystals and is sufficient to accelerate alkyl hydrogenation by several orders of magnitude on Pd NPs. Moreover, the hydrogenation rate is found to increase gradually with increasing H^{sub} content even at a distance from the reaction site. Thus, the structure of active sites alone (similar in NPs and single crystals) does not yet determine the reactivity. Our findings help to clarify a long-standing puzzle why both subsurface hydrogen and nanostructuring of Pd catalysts are necessary for the observed high steady-state olefin hydrogenation activity. Importantly, the outlined mechanism of H^{ad} activation is inherent to H–Pd and H–Pt interactions and, thus, should affect various hydrogenation (and dehydrogenation) reactions on these catalysts. In a very remarkable contrast, on Ni and Rh we have found another mechanism of interplay between adsorbed and subsurface H, which leads to the stabilization of adsorbed H. This behavior is due to the more polar character of H–Ni and H–Rh bonds, which leads to an increased positive charge of surface metal atoms due to the presence of H^{sub} and consequently stronger electrostatic attraction of (negatively charged) H^{ad} to the surface. Notable deactivation of adsorbed H by subsurface H on Ni NPs is manifested by decreased reaction rates for ethyl hydrogenation. At the same time, desorption of surface H should also be hindered by subsurface H on Ni and Rh, which may be beneficial for certain reactions. From a practical point of view, the discussed mechanisms of destabilization or stabilization of adsorbed H by absorbed H may be used to tune the catalytic activity of transition metals.

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